

UNITED STATES DISTRICT COURT
EASTERN DISTRICT OF WASHINGTON

HOLLY JOLENE BOWRON,

Plaintiff,

v.

CAROLYN W. COLVIN,
Commissioner of Social Security,

Defendant.

No. 2:13-CV-03061-JTR

ORDER GRANTING PLAINTIFF'S
MOTION FOR SUMMARY
JUDGMENT

BEFORE THE COURT are cross-motions for summary judgment. ECF No. 20, 21. Attorney D. James Tree represents Plaintiff; Special Assistant United States Attorney Benjamin J. Groebner represents the Commissioner of Social Security (Defendant). The parties have consented to proceed before a magistrate judge. ECF No. 7. After reviewing the administrative record and the briefs filed by the parties, the Court **GRANTS** Plaintiff's Motion for Summary Judgment and **DENIES** Defendant's Motion for Summary Judgment.

JURISDICTION

On May 6, 2009, Plaintiff filed a Title II application for a period of disability and disability insurance benefits, alleging disability beginning January 1, 2007. Tr. 21. Plaintiff reported she could not work due to "ADHD, Bi-Polar, Anxiety, Mental Disability." Tr. 129. Plaintiff's claim was denied initially and on reconsideration, and she requested a hearing before an administrative law judge

1 (ALJ). Tr. 73-108. A hearing was held on September 6, 2011, at which medical
2 expert Larry Kravitz, Ph.D., vocational expert Diane K. Kramer, and Plaintiff, who
3 was represented by counsel, testified. Tr. 439-479. ALJ Caroline Siderius
4 presided. Tr. 439. The ALJ denied benefits on October 6, 2011. Tr. 21-29. The
5 instant matter is before the Court pursuant to 42 U.S.C. § 405(g).

6 **STATEMENT OF FACTS**

7 The facts have been presented in the administrative hearing transcript, the
8 ALJ's decision, and the briefs of the parties and thus, they are only briefly
9 summarized here. At the time of the hearing, Plaintiff was 41 years old, living
10 with her three children, ages 14, 11 and 8. Tr. 454, 456. Plaintiff left high school
11 after the eleventh grade. Tr. 230.

12 Plaintiff last worked as a waitress, and she said she was fired because she
13 was often late and because she did not get along well with her supervisor. Tr. 454.
14 She has also worked as a cashier at a convenience store. Tr. 230.

15 Plaintiff testified she has hypoglycemia, back pain, and nerve damage in her
16 back, but that the "main reason" she cannot work is that she becomes
17 "overwhelmed." Tr. 459. She said that her three children are special needs: all
18 three have ADHD, one has a mood disorder, and another has Asperger's. Tr. 459.
19 Plaintiff's mother helps her care for the children. Tr. 463-464.

20 Plaintiff plans and prepares meals, performs housework and walks the dogs.
21 Tr. 230. She does not have a driver's license. Tr. 230-231.

22 Plaintiff testified she takes medication to help with her symptoms, and, in
23 the past, she has responded well to medication. Tr. 231, 461. She estimated that
24 three to four times per month she experiences a low day, and she stays in bed all
25 day. Tr. 465.

26 **STANDARD OF REVIEW**

27 The ALJ is responsible for determining credibility, resolving conflicts in
28 medical testimony, and resolving ambiguities. *Andrews v. Shalala*, 53 F.3d 1035,

1 1039 (9th Cir. 1995). The ALJ's determinations of law are reviewed *de novo*, with
 2 deference to a reasonable construction of the applicable statutes. *McNatt v. Apfel*,
 3 201 F.3d 1084, 1087 (9th Cir. 2000). The decision of the ALJ may be reversed
 4 only if it is not supported by substantial evidence or if it is based on legal error.
 5 *Tackett v. Apfel*, 180 F.3d 1094, 1097 (9th Cir. 1999). Substantial evidence is
 6 defined as being more than a mere scintilla, but less than a preponderance. *Id.* at
 7 1098. Put another way, substantial evidence is such relevant evidence as a
 8 reasonable mind might accept as adequate to support a conclusion. *Richardson v.*
 9 *Perales*, 402 U.S. 389, 401 (1971). If the evidence is susceptible to more than one
 10 rational interpretation, the Court may not substitute its judgment for that of the
 11 ALJ. *Tackett*, 180 F.3d at 1097; *Morgan v. Commissioner of Social Sec. Admin.*,
 12 169 F.3d 595, 599 (9th Cir. 1999). Nevertheless, a decision supported by
 13 substantial evidence will still be set aside if the proper legal standards were not
 14 applied in weighing the evidence and making the decision. *Browner v. Secretary*
 15 *of Health and Human Services*, 839 F.2d 432, 433 (9th Cir. 1988). If substantial
 16 evidence exists to support the administrative findings, or if conflicting evidence
 17 exists that will support a finding of either disability or non-disability, the ALJ's
 18 determination is conclusive. *Sprague v. Bowen*, 812 F.2d 1226, 1229-1230 (9th
 19 Cir. 1987).

20 SEQUENTIAL PROCESS

21 The Commissioner has established a five-step sequential evaluation process
 22 for determining whether a person is disabled. 20 C.F.R. §§ 404.1520(a),
 23 416.920(a); *see Bowen v. Yuckert*, 482 U.S. 137, 140-142 (1987). In steps one
 24 through four, the burden of proof rests upon the claimant to establish a *prima facie*
 25 case of entitlement to disability benefits. *Tackett*, 180 F.3d at 1098-1099. This
 26 burden is met once a claimant establishes that a physical or mental impairment
 27 prevents him from engaging in his previous occupation. 20 C.F.R. §§
 28 404.1520(a)(4), 416.920(a)(4). If a claimant cannot do his past relevant work, the

1 ALJ proceeds to step five, and the burden shifts to the Commissioner to show that
2 (1) the claimant can make an adjustment to other work; and (2) specific jobs exist
3 in the national economy which claimant can perform. *Batson v. Commissioner of*
4 *Social Sec. Admin.*, 359 F.3d 1190, 1193-1194 (2004). If a claimant cannot make
5 an adjustment to other work in the national economy, a finding of “disabled” is
6 made. 20 C.F.R. §§ 404.1520(a)(4)(i-v), 416.920(a)(4)(i-v).

7 **ALJ’S FINDINGS**

8 At step one of the sequential evaluation process, the ALJ found Plaintiff has
9 not engaged in substantial gainful activity since May 6, 2009, the alleged onset
10 date. Tr. 23. At step two, the ALJ found Plaintiff suffered from the severe
11 impairments of attention deficit hyperactivity disorder, bipolar disorder and
12 depression. Tr. 23. At step three, the ALJ found Plaintiff’s impairments, alone
13 and in combination, did not meet or medically equal one of the listed impairments.
14 Tr. 24. The ALJ determined that Plaintiff had the residual functional capacity
15 (RFC) to perform a full range of work at all exertional levels but with the
16 following nonexertional limitations, restricting Plaintiff to “simple 1-3 step tasks
17 but no detailed work.” Tr. 25. Also, Plaintiff was limited to “no more than
18 occasional changes in work setting” and “routine superficial contact with the
19 public and no more than occasional contact with coworkers.” Tr. 25.

20 The ALJ found that Plaintiff is unable to perform past relevant work. Tr. 27.
21 Considering Plaintiff’s age, education, work experience and residual functional
22 capacity, jobs exist in significant numbers in the national economy that Plaintiff
23 can perform, such as cleaner and laundry worker. Tr. 27-28. As a result, the ALJ
24 concluded Plaintiff was not disabled as defined by the Social Security Act. Tr. 28.

25 **ISSUES**

26 Plaintiff contends that the ALJ erred in determining credibility, weighing the
27 medical opinion evidence, and in the Step Five determination. ECF No. 20 at 8.

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DISCUSSION

A. Credibility

Plaintiff contends the ALJ erred by finding Plaintiff had little credibility. ECF No. 20 at 15-18.

The ALJ is responsible for determining credibility. *Andrews*, 53 F.3d at 1039. Unless affirmative evidence exists indicating that the claimant is malingering, the ALJ's reasons for rejecting the claimant's testimony must be "clear and convincing." *Lester v. Chater*, 81 F.3d 821, 834 (9th Cir. 1996). The ALJ's findings must be supported by specific, cogent reasons. *Rashad v. Sullivan*, 903 F.2d 1229, 1231 (9th Cir. 1990). "General findings are insufficient; rather, the ALJ must identify what testimony is not credible and what evidence undermines the claimant's complaints." *Reddick v. Chater*, 157 F.3d 715, 722 (9th Cir. 1998), quoting *Lester*, 81 F.3d at 834. If objective medical evidence exists of an underlying impairment, the ALJ may not discredit a claimant's testimony as to the severity of symptoms merely because they are unsupported by objective medical evidence. *See Bunnell v. Sullivan*, 947 F.2d 341, 347-348 (9th Cir. 1991).

To determine whether the claimant's testimony regarding the severity of the symptoms is credible, the ALJ may consider, for example: (1) ordinary techniques of credibility evaluation, such as the claimant's reputation for lying, prior inconsistent statements concerning the symptoms, and other testimony by the claimant that appears less than candid; (2) unexplained or inadequately explained failure to seek treatment or to follow a prescribed course of treatment; and (3) the claimant's daily activities. *See, e.g., Fair v. Bowen*, 885 F.2d 597, 602-604 (9th Cir. 1989); *Bunnell*, 947 F.2d at 346-347.

The ALJ found Plaintiff's allegations about the severity of her symptoms were not credible. Tr. 25. The ALJ noted that while Plaintiff reported severe physical impairments related to her back, knee and more recently, bursitis, no evidence suggests that these are severe impairments. Tr. 26. Also, the ALJ found

1 no evidence established that Plaintiff's neck and shoulder impairments, and her
2 symptoms related to bursitis, were expected to last for more than a 12 month
3 period. Tr. 26. Additionally, the ALJ found that while Plaintiff had "some"
4 mental limitations, the allegations of debilitating symptoms are not fully credible
5 in light of the treatment record. Tr. 26. The ALJ also noted that examining
6 physician Jay M. Toews, Ed.D., diagnosed probable malingering. Tr. 26.

7 Plaintiff argues the ALJ erred by finding Plaintiff had little credibility based
8 on a lack of evidence indicating her symptoms would last more than 12 months,
9 and by finding her claimed mental health limitations were inconsistent with the
10 treatment record. ECF No. 20 at 15.

11 **1. Symptom Severity and Duration**

12 First, Plaintiff argues that three records establish Plaintiff's physical
13 impairments are degenerative in nature, and thus will last more than twelve
14 months. ECF No. 20 at 16.

15 In order to be considered disabled, a disability must have lasted or be
16 expected to last for a continuous period of not less than 12 months. 20 C.F.R. §
17 416.905(a). An impairment is "not severe" if it does not "significantly limit" the
18 ability to conduct basic work activities. 20 C.F.R. §§ 404.1521(a), 416.921(a).
19 "An impairment or combination of impairments is not severe when the evidence
20 establishes a slight abnormality that has "no more than a minimal effect on an
21 individual[']s ability to work." *Smolen v. Chater*, 80 F.3d 1273, 1290 (9th Cir.
22 1996) (quoting SSR 85-28) (citing *Yuckert v. Bowen*, 841 F.2d 303, 306 (9th Cir.
23 1988)).

24 The first record Plaintiff cites is the first page of a two-page radiologist
25 report dated June 26, 2011. The report indicated that Plaintiff had "mild to
26 moderate diffuse kyphotic angulation of the mid and upper cervical spine.
27 Presumed position/spasm" and "minimal degenerative changes of the lower [.]"
28 Tr. 437. The sentence is not finished on the first page, and Plaintiff failed to

1 provide the second page. However, it is apparent that this record, as provided,
2 does not support Plaintiff's position that she has a severe back impairment. The
3 findings reveal mild to moderate abnormalities, and minimal degenerative changes.
4 As such, the evidence supports the ALJ's interpretation that this impairment is not
5 severe.

6 The second record Plaintiff cites is a medical imaging report dated June 30,
7 2011, relating to Plaintiff's bursitis. Tr. 295. The record provides one page of a
8 two-page report, that reveals Plaintiff had "mild degenerative changes of C5-6 with
9 mild central canal stenosis and cord impingement and mild left foraminal
10 stenosis," and "C6-7 right-sided disc bulging with annular tear, which mildly
11 narrows the central canal and effaces the" Tr. 295. Again, the sentence is not
12 finished on the first page, and the record does not contain page two. While
13 Plaintiff accurately characterizes the condition as degenerative, it is apparent from
14 the record that the impairment is not severe, and the changes are characterized as
15 "mild." Tr. 295. Thus, the ALJ's conclusion that bursitis was not a severe
16 impairment is supported by the record.

17 The third record Plaintiff cites is a chart note from an August 12, 2011,
18 office visit with George F. Gade, M.D. Tr. 420-422. Plaintiff was examined for
19 neck and right arm pain, tingling and numbness, and headache. Tr. 420. Dr.
20 Gade's exam revealed:

21 external rotators of the shoulder were 4+/5 bilaterally; pronator to the
22 forearm was 4-/5 on the right side versus 4+/5 on the left; biceps
23 versus 5/5 on the left; triceps 4/5 on the left versus 5/5 on the right;
24 finger flexors 5/5 bilaterally; intrinsics 4-/5 bilaterally; all groups 5/5
25 and symmetric in the lower extremities.

26 Tr. 421. Dr. Gade assessed Plaintiff with possible cervical disc herniation without
27 myelopathy, and with cervical radiculopathy. Tr. 421. Dr. Gade ordered cervical
28 spine x-rays and a CT scan. Tr. 421.

1 Plaintiff fails to explain how Dr. Gade's examination results reveal a severe
2 impairment, and as the ALJ noted, no evidence exists that these symptoms are
3 expected to last more than twelve months. Moreover, assuming *arguendo* that
4 Plaintiff's impairments are degenerative, establishing that fact fails to establish that
5 the condition, at the time of the alleged onset date, constituted a severe
6 impairment. The ALJ's conclusion that Plaintiff did not have a severe impairment
7 that would last for more than twelve months is a reasonable interpretation of the
8 record.

9 **2. Treatment Record**

10 Plaintiff also argues the ALJ erred by finding Plaintiff's credibility was
11 diminished because her claims about her mental health limitations were
12 inconsistent with the treatment record. ECF No. 20 at 15.

13 In determining credibility, the ALJ may consider inconsistencies in the
14 claimant's testimony and inconsistencies between the testimony and the claimant's
15 conduct, her inadequately explained failure to seek treatment, and whether the
16 claimant's daily activities are inconsistent with the alleged symptoms. *See*
17 *Tommasetti*, 533 F.3d at 1039; *Lingenfelter*, 504 F.3d at 1040.

18 In support of her argument, Plaintiff cites symptoms that she contends
19 support her claims. ECF No. 20 at 17-18. The ALJ's analysis is supported by the
20 record that indicates Plaintiff's complaints often were related to situational
21 stressors, such as acting as the primary caregiver to three special needs children.
22 Tr. 26, 194, 202, 213, 216, 225, 305.

23 Also, as the ALJ noted, Plaintiff's objective test scores revealed her memory
24 and cognition were intact. Tr. 26, 194, 202, 232, 255. The record also supports the
25 ALJ's reliance upon several mental status exams that indicated Plaintiff's affect
26 was pleasant, appropriate, and her mood was stable. Tr. 26, 202, 224, 231, 261.
27 Moreover, the record reveals in March, 2010, Jay M. Toews, Ph.D., interpreted
28 Plaintiff's test results to indicate "significant tendency to exaggerate symptoms,"

1 and he diagnosed, in part, “malinger, probable.” Tr. 232. Finally, the record
 2 supports the ALJ’s finding that two of Plaintiff’s treating providers opined that it
 3 would be therapeutic for Plaintiff to work. Tr. 26, 308.¹ In sum, in finding
 4 Plaintiff had little credibility, the ALJ provided specific, clear and convincing
 5 reasons, supported by substantial evidence. The ALJ did not err.

6 **B. Medical Opinions**

7 In weighing medical source opinions in Social Security cases, the Ninth
 8 Circuit distinguishes among three types of physicians: (1) treating physicians, who
 9 actually treat the claimant; (2) examining physicians, who examine but do not treat
 10 the claimant; and (3) non-examining physicians, who neither treat nor examine the
 11 claimant. *Lester*, 81 F.3d at 830. Generally, more weight should be given to the
 12 opinion of a treating physician than to the opinions of non-treating physicians. *Id.*

13 Although a treating physician’s opinion is generally afforded the greatest
 14 weight in disability cases, it is not binding on an ALJ with respect to the existence
 15 of an impairment or the ultimate determination of disability. *Tonapetyan v. Halter*,
 16 242 F.3d 1144, 1148 (9th Cir. 2001). When a conflict exists between the opinions
 17 of a treating physician and an examining physician, the ALJ may disregard the
 18 opinion of the treating physician only if he sets forth “specific and legitimate
 19 reasons supported by substantial evidence in the record for doing so.” *Lester*, 81
 20 F.3d at 830. While the contrary opinion of a non-examining medical expert does
 21 not alone constitute a specific, legitimate reason for rejecting a treating or
 22 examining physician’s opinion, a non-examining physician’s opinion may
 23 constitute substantial evidence when consistent with other independent evidence in
 24 the record. *Magallanes v. Bowen*, 881 F.2d 747, 752 (9th Cir. 1989).

25
 26 ¹On April 26, 2011, Peggy Champoux, M.S.W., noted in Plaintiff’s chart: “Lisa
 27 Vickers ARNP and I agree that Holly can work. Holly working would be
 28 therapeutic.” Tr. 308.

1 **1. Larry Kravitz, Ph.D.**

2 Plaintiff contends that the ALJ erred by failing to adopt all the assessments
3 opined by testifying, non-examining clinical psychologist Larry Kravitz, Ph.D.
4 ECF No. 20 at 14-15.

5 At the hearing, Dr. Kravitz testified that in his opinion, Plaintiff's
6 impairments did not meet or equal a listing. Tr. 450. He concluded that Plaintiff
7 had mild restrictions in daily living, and mild to moderate limitations in social
8 function, as well as in concentration, persistence and pace. Tr. 450-451. Citing
9 Plaintiff's daily activities, Dr. Kravitz opined that Plaintiff would be "able to
10 manage most aspects of the daily routine" in job-related responsibilities. Tr. 451.
11 Dr. Kravitz explained Plaintiff had limitations related to contact with co-workers
12 and supervisors:

13 In terms of interpersonal relations in the workplace, I think claimant
14 does have an issue with tending to overreact to critiquing, that she can
15 be rude, blunt, aggressive – particularly when stressed. So I would
16 limit her to brief and superficial interactions with the public, and I
17 would put her in a work environment where she only has limited
18 interactions with coworkers. I would not put her in a work
19 environment where she needed – which required involved or complex
20 interpersonal contact. I think from the supervisory point-of-view, it
21 would be best if supervision was occasional and primarily task-
22 instructive. And I think claimant with those parameters would be
23 capable of handling ordinary levels of work stress with limited
24 changes in the work routine. I would not put her in a work
25 environment with high levels of stress or unpredictable levels of
26 stress. Those would be my limitations, judge.

27 Tr. 451-452. In response to a question from Plaintiff's counsel, Dr. Kravitz
28 elaborated regarding the frequency of supervision that Plaintiff would be able to
29 tolerate:

30 Primarily I would have the supervisory contact task-instructive.
31 Pretty much, here's what she needs to do, here's how to do the task, if

1 you have any questions how to go about it let me know, I'll come
2 back and check with you to see how you are doing. But certainly not
3 something where a supervisor is watching her closely, where a
4 supervisor is correcting her frequently or modifying her performance.
5 I think that would tend to become overwhelming, and then she might
6 tend to overreact to that sort of frequent critiquing.

7 Tr. 452-453.

8 The ALJ determined that Dr. Kravitz's testimony was "consistent with the
9 treatment record and is accepted as credible." Tr. 26. Plaintiff's RFC did not
10 include limits related to supervisor contact. Tr. 25.

11 K. Diane Kramer, vocational expert, testified at the hearing that, for a
12 hypothetical worker who could perform with the limitations mirroring Plaintiff's
13 RFC, the hypothetical worker could work as a cleaner or laundry worker. Tr. 473-
14 474.

15 Plaintiff's counsel questioned Ms. Kramer about the limitations specifically
16 related to the supervisor:

17 Q. Now as far as the limitations to the supervision only being
18 occasional, where the supervisor would not, you know, watch closely
19 or modify, make suggestions to modify work performance, are there
20 any jobs where a supervisor wouldn't do that or wouldn't be within
21 the [purview] of the supervisor to do that?

22 A. So it's just occasional interaction with the supervisor?

23 Q. Well, only occasional interaction with the supervisor. And then
24 also only direct supervision where the, you know, "Here's your
25 duties," that's it; nothing where they'd watch the person or make
26 suggestions to modify work performance. Is it due to an individual
27 getting stressed out, perceived, you know, high internal stress and
28 overreacts to situations. And I guess my question is would that flows
[phonetic] [sic] with competitive work environment or not?

///

1 A. I don't think so because I think even when you don't have a
2 constant or even occasional supervision – the supervisor will always
3 make suggestions and maybe even corrections. But, you know, I
4 think you're – if I'm understanding you correctly, you're trying to get
me to identify a position where there would be none of that.

5 Q. Well, I just say – yeah, if, I'm just saying if that would work
6 with competitive in [sic] work force or if that would be something
7 more of a sheltered work environment or something like that. Or –

8 A. Yeah. And even in a sheltered work environment, they, you've
9 got somebody there, you have a supervisor there. Way more in a
10 sheltered workshop environment than you would in a normal work
11 setting. So I guess my answer would be that she would not, or this
individual would not be able to participate in competitive work.

12 Tr. 476-477.

13 Plaintiff argues that while the ALJ indicated that Dr. Kravitz's opinion was
14 entitled to great weight, the ALJ failed to include the supervisory limitations
15 assessed by Dr. Kravitz in Plaintiff's RFC, and when included, those limitations
16 preclude employment. ECF No. 20 at 14-15.

17 In this case, the wording of counsel's hypothetical to Ms. Kramer did not
18 closely track the language Dr. Kravitz used to describe Plaintiff's limitations
19 related to supervision. Tr. 451-452, 476. Dr. Kravitz opined that Plaintiff would
20 not do well with a supervisor "watching her closely" and "correcting her frequently
21 or modifying her performance." Tr. 452. By contrast, Plaintiff's counsel omitted
22 the critical term "frequently" from his hypothetical and essentially described a
23 supervisor who was completely precluded from managing the employee:

24 where the supervisor would not . . . watch closely or . . . make
25 suggestions to modify work performance . . . nothing where they'd
26 watch the person and make suggestions to modify work performance.

27 Tr. 476-477. In essence, counsel's hypothetical would limit Plaintiff to
28 work that was wholly unsupervised. But Dr. Kravitz's testimony described a

1 more narrow circumstance – Plaintiff cannot work in positions where the
2 supervision is close, frequent or constant. As such, counsel’s hypothetical to
3 Ms. Kramer’s was not applicable to Plaintiff.

4 Defendant argues that Dr. Kravitz merely described Plaintiff’s best working
5 conditions, and his discussion about supervision was a recommendation, not an
6 imperative. ECF No. 21 at 9. An ALJ may omit a medical source’s
7 recommendation, as opposed to an imperative, from an RFC. *Carmickle v.*
8 *Comm’r, SSA*, 533 F.3d 1155, 1165 (9th Cir. 2008). However, in this case the
9 Court is unable to find that Dr. Kravitz’s opinion related to Plaintiff’s need for a
10 job with infrequent or occasional supervision was simply a recommendation.
11 While Dr. Kravitz introduced the limitations about occasional contact with a
12 supervisor with the words “it would be best,” he later explained: “But *certainly not*
13 something where a supervisor is watching her closely, where a supervisor is
14 correcting her frequently or modifying her performance.” Tr. 452-453 (emphasis
15 added). In this context, the words “certainly not” cannot reasonably be construed
16 as a mere recommendation, and thus Defendant’s assertion fails.

17 Further, an ALJ’s findings need only be consistent with a doctor’s assessed
18 limitations, not identical to the limitations. *Turner v. Comm’r of Soc. Sec.*, 613
19 F.3d 1271, 1223 (9th Cir. 2010). But in this case, the limitation relating to
20 occasional supervision was entirely omitted. As a result, the RFC fails to reflect a
21 significant portion of Dr. Kravitz’s opinion. In this case, Ms. Kramer did not
22 address a hypothetical that contained all of Dr. Kravitz’s functional limitations,
23 specifically including the limitation that the position must be limited to occasional
24 contact with a supervisor.

25 In sum, the ALJ adopted Dr. Kravitz’s assessments, but did not provide a
26 reason for rejecting the limitation relating to the frequency of contact with
27 supervisors. While an ALJ need not discuss all evidence presented, the ALJ must
28 explain why significant probative evidence has been rejected. *Vincent v. Heckler*,

1 739 F.2d 1393, 1394-1395 (9th Cir. 1984). In the absence of an explanation for
2 rejecting the supervisory limitation, the case must be remanded to reconsider Dr.
3 Kravitz's testimony and if necessary, provide reasons for rejecting this limitation,
4 or in the alternative, modify Plaintiff's RFC to reflect the limitations relating to
5 supervision.

6 **2. Peggy Champoux, M.S.W.**

7 Plaintiff contends the ALJ erred by rejecting an opinion from Peggy
8 Champoux, MSW. ECF No. 20 at 10-14.

9 In evaluating the weight to be given to the opinion of medical providers,
10 Social Security regulations distinguish between "acceptable medical sources" and
11 "other sources." Acceptable medical sources include, for example, licensed
12 physicians and psychologists, while other non-specified medical providers are
13 considered "other sources." 20 C.F.R. § 404.1513(a), (e); 20 C.F.R. § 416.913(a),
14 (e); and SSR 06-03p. However, an ALJ is required to consider observations by
15 non-acceptable medical sources as to how an impairment affects a claimant's
16 ability to work. *Sprague*, 812 F.2d at 1232. An ALJ must give reasons germane to
17 "other source" testimony before discounting it. *Dodrill v. Shalala*, 12 F.3d 915
18 (9th Cir. 1993). To qualify as germane, a reason for disregarding the testimony of
19 a lay witness must be more than a wholesale dismissal of all such witnesses as a
20 group, but rather must be specific to the lay witness. *Smolen*, 80 F.3d at 1288.

21 Plaintiff challenges the ALJ's rejection of Ms. Champoux's November 9,
22 2010 opinion that Plaintiff can work a maximum of ten hours per week. ECF No.
23 20 at 12-13. On November 9, 2010, Ms. Champoux completed a DSHS form
24 entitled Documentation Request for Medical or Disability Condition. Tr. 275-276.
25 On the form, Ms. Champoux indicated Plaintiff had Bi-polar disorder, NOS, and
26 ADHD "combine type." Tr. 275. Ms. Champoux checked a box indicating that
27 those diagnoses were not supported by "testing, lab results, etc." Tr. 275. In the
28 space for describing Plaintiff's limitations, Ms. Champoux wrote "some difficulty

1 likely for extended periods of time,” and “plan initial 1-10 hours then increase as
2 Holly adjusts to working.” Tr. 275. Ms. Champoux indicated that Plaintiff’s
3 condition did not prevent her from preparing for and looking for work. Tr. 275.
4 Ms. Champoux did not answer question number six, that asked “how long will this
5 person’s condition likely limit their ability to work, look for work, or train to
6 work?” Tr. 276.

7 Ms. Champoux opined in July 2008, that Plaintiff was unable to work for
8 three months. Tr. 27. The ALJ gave this opinion little weight, because these
9 limitations were temporary, and Ms. Champoux provided no findings to support
10 the limitations. Tr. 27. Also, the ALJ gave little weight to Ms. Champoux’s
11 November 2010 opinion that Plaintiff could work only one to ten hours per week,
12 because this opinion was based upon Plaintiff’s self-report of her symptoms, which
13 was not fully credible. Tr. 27.

14 Plaintiff argues that Ms. Champoux reasonably relied upon Plaintiff’s
15 subjective complaints because she was credible, and because substantial evidence
16 in the record supports Plaintiff’s symptoms. ECF No. 20 at 13-14. A medical
17 opinion may be rejected if it is based on a claimant’s subjective complaints which
18 were properly discounted. *Tonapetyan*, 242 F.3d at 1149 (rejecting physician
19 opinion that lacked supporting objective evidence and was premised upon
20 claimant’s subjective complaints).

21 In this case, as analyzed above, the ALJ properly found that Plaintiff’s report
22 about the severity of her symptoms lacked credibility. Additionally, the report did
23 not limit Plaintiff to ten hours of work per week permanently, but instead
24 contemplated that Plaintiff would increase the number of hours as she adjusted to
25 working. Tr. 275. Significantly, five months after the November 2010 opinion,
26 Ms. Champoux indicated that Plaintiff “can work” and working “would be
27 therapeutic” for her. Tr. 308. The ALJ’s reasons for discounting Ms. Champoux’s
28 opinion provided in November 2010 were germane. The ALJ did not err.

1 **C. Step Five**

2 Plaintiff contends the ALJ erred by relying upon the vocational expert's
3 testimony that was premised on an incomplete hypothetical. ECF No. 20 at 18-19.

4 The hypothetical that ultimately served as the basis for the ALJ's
5 determination, i.e., the hypothetical that is predicated on the ALJ's final RFC
6 assessment, must account for all of the limitations and restrictions of the particular
7 claimant. *Bray v. Comm'r of Soc. Sec. Admin.*, 554 F.3d 1219, 1228 (9th Cir.
8 2009). "If an ALJ's hypothetical does not reflect all of the claimant's limitations,
9 then the expert's testimony has no evidentiary value to support a finding that the
10 claimant can perform jobs in the national economy." *Id.* (citation and quotation
11 marks omitted). In this case, the ALJ's hypothetical to the vocational expert failed
12 to include the limitations related to the frequency of contact with supervisors
13 opined by Dr. Kravitz. As such, remand is required.

14 **CONCLUSION**

15 Having reviewed the record and the ALJ's findings, the Court concludes the
16 ALJ's decision is based on legal error, and requires remand. On remand, the ALJ
17 is directed to reconsider Dr. Kravitz's opinion, and if necessary, provide valid
18 reasons for rejecting his opinion related to Plaintiff's limitations on the frequency
19 of interaction with a supervisor. In the alternative, the ALJ should reconsider
20 Plaintiff's RFC, and after incorporating Dr. Kravitz's limitations relating to
21 supervision, obtain additional vocational expert testimony that addresses Plaintiff's
22 revised RFC. The decision is therefore **REVERSED** and the case is
23 **REMANDED** for further proceedings consistent with this opinion.

24 Accordingly, **IT IS HEREBY ORDERED:**

25 1. Plaintiff's Motion for Summary Judgment, **ECF No. 20**, is
26 **GRANTED**. The matter is remanded to the Commissioner for additional
27 proceedings pursuant to sentence four 42 U.S.C. 405(g).

28 ///

1 2. Defendant's Motion for Summary Judgment, **ECF No. 21**, is
2 **DENIED.**

3 3. An application for attorney fees may be filed by separate motion.
4 The District Court Executive is directed to file this Order, provide copies to
5 counsel, entered judgment for **PLAINTIFF**, and **CLOSE** the file.

6 DATED August 22, 2014.

A handwritten signature in black ink, appearing to be "M", is written over a horizontal line.

JOHN T. RODGERS
UNITED STATES MAGISTRATE JUDGE